

**EN**  
**ANNEX III**  
**"ANNEX V**

**LIST OF THIRD COUNTRIES AND TERRITORIES FOR THE PURPOSES OF**  
**ARTICLE 5 (CREDIT INSTITUTIONS AND INVESTMENT FIRMS)**

Credit institutions:

- 1) Argentina
- 2) Australia
- 3) Brazil
- 4) Canada
- 5) China
- 6) Faroe Islands
- 7) Greenland
- 8) Guernsey
- 9) Hong Kong
- 10) India
- 11) Isle of Man
- 12) Japan
- 13) Jersey
- 14) Mexico
- 15) Monaco
- 16) New Zealand
- 17) Saudi Arabia
- 18) Serbia
- 19) Singapore
- 20) South Africa
- 21) South Korea
- 22) Switzerland
- 23) Turkey
- 24) USA

Investment firms:

- 1) Australia
- 2) Brazil
- 3) Canada
- 4) China
- 5) Hong Kong
- 6) Indonesia
- 7) Japan (limited to Type I Financial Instruments Business Operators)
- 8) Mexico
- 9) South Korea
- 10) Saudi Arabia
- 11) Singapore
- 12) South Africa
- 13) USA"