



EUROPEAN
COMMISSION

Brussels, **XXX**
XXX
[...](2014) **XXX** draft

ANNEXES 1 to 5

ANNEXES

to the

COMMISSION IMPLEMENTING DECISION

of XXX

on the equivalence of the supervisory and regulatory requirements of certain third countries and territories for the purposes of the treatment of exposures according to Regulation (EU) No 575/2013

ANNEX I
LIST OF THIRD COUNTRIES AND TERRITORIES for the purposes of Article 1
(credit institutions)

- (1) Australia
- (2) Brazil
- (3) Canada
- (4) China
- (5) Guernsey
- (6) Hong Kong
- (7) India
- (8) Isle of Man
- (9) Japan
- (10) Jersey
- (11) Mexico
- (12) Monaco
- (13) Saudi Arabia
- (14) Singapore
- (15) South Africa
- (16) Switzerland
- (17) USA

ANNEX II

LIST OF THIRD COUNTRIES for the purposes of Article 2 (investment firms)

- (1) Australia
- (2) Brazil
- (3) Canada
- (4) China
- (5) Mexico
- (6) Saudi Arabia
- (7) Singapore
- (8) South Africa
- (9) USA

ANNEX III

LIST OF THIRD COUNTRIES for the purposes of Article 3 (exchanges)

- (1) Brazil
- (2) Canada
- (3) China
- (4) India
- (5) Japan
- (6) Mexico
- (7) Saudi Arabia
- (8) Singapore
- (9) South Africa
- (10) USA

ANNEX IV
LIST OF THIRD COUNTRIES AND TERRITORIES for the purposes of Article 4
(credit institutions)

- (1) Australia
- (2) Brazil
- (3) Canada
- (4) China
- (5) Guernsey
- (6) Hong Kong
- (7) India
- (8) Isle of Man
- (9) Japan
- (10) Jersey
- (11) Mexico
- (12) Monaco
- (13) Saudi Arabia
- (14) Singapore
- (15) South Africa
- (16) Switzerland
- (17) USA

ANNEX V
LIST OF THIRD COUNTRIES AND TERRITORIES for the purposes of Article 5
(credit institutions and investment firms)

Credit institutions:

- (1) Australia
- (2) Brazil
- (3) Canada
- (4) China
- (5) Guernsey
- (6) Hong Kong
- (7) India
- (8) Isle of Man
- (9) Japan
- (10) Jersey
- (11) Mexico
- (12) Monaco
- (13) Saudi Arabia
- (14) Singapore
- (15) South Africa
- (16) Switzerland
- (17) USA

Investment firms:

- (1) Australia
- (2) Brazil
- (3) Canada
- (4) China
- (5) Mexico
- (6) Saudi Arabia
- (7) Singapore
- (8) South Africa
- (1) USA